

77 Rec'd T/PTO 13 NOV 2001

Form PTO-1390

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21259

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/869,310

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/AT00/00102

20 April 2000

22 April 1999

TITLE OF INVENTION

CASTING MATERIAL FOR INDEFINITE ROLLERS WITH A SLEEVE PART AND METHOD FOR PRODUCING THE SAME

APPLICANT(S) FOR DO/EO/US

Bernhard FEISTRITZER, Karl-Heinrich SCHRÖDER, Michael WINDHAGER, and Karl-Heinz ZIEHENBERGER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
  4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
  5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).
- Items 11 to 16 below concern other document(s) or information included:
11. Assignee: EISENWERK SULZAU-WERFEN R&E WEINBERGER AG of Tenneck, AUSTRIA
  12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  14. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ Figure of Drawing to be published
  18. ☒ Other items or information:  
 Cover Letter.

11/14/2001 SHAJARRD 00000046 09869310

01 FC:154

130.00 OP

02 FC:966

180.00 OP

03 FC:156

130.00 OP

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/869,310

INTERNATIONAL APPLICATION NO.

PCT/AT00/00102

ATTORNEY'S SIGNATURE

Rec'd 11/PTO

13 NOV 2001

19. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO..... \$ 860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$ 690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$ 710.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than \_\_\_ 20\_X\_ 30 months from the earliest claimed priority date (37 CFR 1.492(c)).

\$ 130.00

Claims	Number Filed	Number Extra	RATE	
Total Claims	30 - 20 =	10	X \$18.00	\$ 180.00
Independent Claims	3 - 3 =	0	X \$80.00	\$ 0.00
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 310.00

\_\_\_ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$ 0.00

SUBTOTAL =

\$ 310.00

Processing fee of \$130.00 for furnishing the English translation later than \_\_\_ 20\_X\_ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+ \$ 130.00

Extension of Time fee in the amount of \$

\$ 0.00

TOTAL NATIONAL FEE =

\$ 440.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+ \$ 0.00

TOTAL FEES ENCLOSED =

\$ 440.00

Amount to be refunded

\$

Charged

\$

a. ☒ A check in the amount of \$440.00 to cover the above fees is enclosed.

b. \_\_\_ Please charge my Deposit Account No. \_\_\_ in the amount of \$\_\_\_ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055

AT THE PRESENT ADDRESS OF:  
Neil F. Greenblum  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191SIGNATURE  
Neil F. Greenblum  
NAME

28,394

REGISTRATION NUMBER

**09/869310**

P21259.P05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael WINDHAGER et al.

Serial No : 09/869,310  
(National Stage of PCT/AT00/00102)

I.A. Filed : April 20, 2000

For : CASTING MATERIAL FOR INDEFINITE ROLLERS WITH A SLEEVE PART  
AND METHOD FOR PRODUCING THE SAME

**COVER LETTER**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In order to comply with the requirements for the submission of an executed declaration in the above-identified application, and prior to receipt of the Notification which should set a time limit for submission of materials, and further to the filing of the above-identified application and the filing fee on July 20, 2001, Applicant hereby submits:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371;

- an executed Declaration and Power of Attorney;

- a Verified English translation of the international Application as originally filed (24 pages of specification and 2 sheets of drawings);

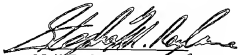
- a Preliminary Amendment; and

- a check in the amount of \$440.00 of which \$130.00 is payment for the surcharge for late filing of the Declaration, \$130.00 is payment for the Non-English specification, and \$180.00 is payment for the extra claims over twenty.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the below listed number.

Respectfully submitted,  
Michael WINDHAGER et al.

  
Nath F. Greenblum  
Reg. No. 28,394  
3/12/18

November 9, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke  
Reston, VA 20191  
(703) 716-1191

**VERIFICATION OF TRANSLATION**

I, AMY MENGE

of 10205 Antietam Avenue  
Fairfax, Virginia, 22030

declare that I am well acquainted with both the German and English languages, and that the attached is a literal translation, to the best of my knowledge and ability, of the German language Patent Application No. PCT/AT/00102, filed April 20, 2000.

Signature

  
Amy Menge

Date

October 26, 2001